

Jew



PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

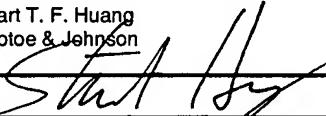
(to be used for all correspondence after initial filing)

		Application Number	10/774,368
		Filing Date	February 10, 2004
		First Named Inventor	David A. PENSAK
		Art Unit	2127
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	13951.0002

ENCLOSURES (Check all that apply)

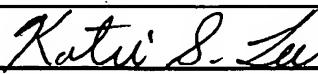
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	PTO-1449
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	One Reference
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	Return Receipt Postcard
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Stuart T. F. Huang Steptoe & Johnson	
Signature	 *34,184	
Date	June 30, 2004	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Katie S. Lee		
Signature			Date 6/30/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): DAVID A. PENSAK ET AL

Group Art Unit.: 2127

Serial No.: 10/774,368

Examiner: N/A

Filed: February 10, 2004

Confirmation No.: 9526

**Title: SYSTEM AND METHOD FOR
REGULATING EXECUTION OF
COMPUTER SOFTWARE**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

The undersigned hereby submits the attached form PTO-1449, as well as copy of the document cited therein.

It is respectfully requested that the reference be expressly considered during the prosecution of this application and made of record herein and among the "References Cited" on any patent to issue herefrom. Consideration of the foregoing and enclosure, plus the return of the enclosed form PTO-1449 with the Examiner's initial in the left-hand column (per MPEP § 609), are earnestly solicited.

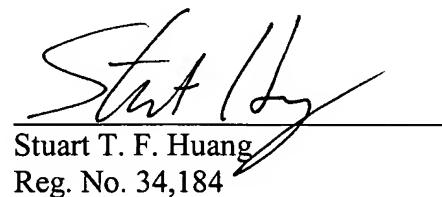
This Information Disclosure Statement is intended to be in full compliance with the Rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to the effect is earnestly solicited, along with additional time under Rule 97(f), to enable applicant to comply fully.

David A. Pensak et al.
Patent Appln. No. 10/774,368

Should there be any questions, the Examiner is invited to contact the undersigned at the below-listed number.

This Information Disclosure Statement is submitted before the receipt of the first Office Action. Therefore, no fee is believed to be necessary. However, the Commissioner is hereby authorized to charge any necessary fees or credit any overpayment to Deposit Account No. 19-4293.

Respectfully submitted,



Stuart T. F. Huang
Reg. No. 34,184

June 29, 2004

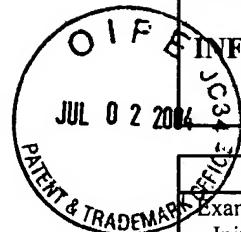
STEPTOE & JOHNSON LLP
1330 Connecticut Ave., N.W.
Washington, DC 20036

Tel. (202) 429-3000
Fax (202) 429-3902

Date Mailed: 6/29/04

Sheet 1 of 1

FORM 1449 (S&J Version)	Docket No.: 13951.0002
INFORMATION DISCLOSURE STATEMENT	
BY APPLICANT	
JC3	Applicant: PENSAK et al.
	Application No.: 10/774,368
	Filing Date: February 10, 2004
	Examiner: _____
	Group Art Unit: 2127



FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS

Examiner's Initials*	Include author, title of article, title of item (book, journal, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	<i>Tamper Resistant Software: An Implementation</i> , by David Aucsmith, <i>Lecture Notes in Computer Science</i> 1174, pp. 317-333, from <i>Lecture Notes in Computer Science</i> 1174, Ross J. Anderson (Ed.), <i>Information Hiding</i> , First International Workshop, Cambridge, U.K., May/June 1996 Proceedings. Springer 1996 ISBN 3-540-61996-8.

Examiner's Signature		Date Considered	
-------------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.